



**Universität
Zürich**^{UZH}

Continuing Education



Compliance Management

Certificate of Advanced Studies

Faculty of Law of the University of Zurich
Europa Institut at the University of Zurich



Certificate of Advanced Studies Compliance Management

15 ECTS Credits

This part-time CAS course, which has been offered since 2019, is aimed at prospective and experienced compliance officers. The course provides in-depth knowledge in areas such as business process management, quality management, risk management and information technology in order to effectively master the challenges of compliance management.

In practice-oriented modules, participants learn how to recognize and mitigate risks and develop solutions for compliance violations. The program combines academic theory with practical applications and prepares participants for international compliance requirements.



This program is organized and run by Europa Institut at the University of Zurich on behalf of the Faculty of Law of the University of Zurich.

Aim of the course

This CAS is aiming to provide current and future compliance officers with an introduction to relevant areas of the working methods of persons in the function of a compliance officer. Participants of the course should obtain knowledge in areas such as business process management, quality management, system engineering, life cycle networks, internal control systems, operational and financial risk management, information technology, as well as project and people management. The course combines academic teaching and research with practical application and at the same time promotes professional,

methodical and social skills, taking into account the international dimension and cross-border compliance requirements.

Target group

The program is aimed at prospective and existing compliance officers, corporate lawyers and economists who wish to improve their professional qualifications.

Academic Advisory Board

- Dr. Stefano Caldoro, Partner, LANTER PARTNER
- Olaf von der Lage, CEO, Complias AG, Board Member SACO

Key Data

Program

The course is divided into two modules. The first module deals with what is meant by compliance, how risks are treated and how they can be mitigated. In addition, it deals with the recognition of compliance violations and, subsequently, with options for an adequate response. In the second module, case studies are used to prepare for the practical application. In order to secure the highest practical value for the participants, a team of experts from various industries accompanies the course and works alongside the class to develop various solutions. Such, the participants do not only benefit from the many years of the experts' experience, but also from the diversity of their professional background (e.g. government, business, university).

Module 1: Compliance Setup

- Define / Establish Compliance: Strategic Requirements, Risk Management, Policies and Organization
- Prevent / Promote: Prevention and Promotion, Information Technology
- Detect: Controlling, Reporting, Documentation, Whistle-blowing, Auditing
- Respond / Remediate: Investigations, Remediation

Module 2: Implementation Management

- Workshops on Core Compliance Areas:
- Management Responsibility and Liability
 - AML
 - Psychology and Compliance
 - Insurance
 - Anticorruption/Antifraud
 - Data and Know-how Protection
 - ... and more

Language

The course is held in English.

Teaching mode

In-person classes on Friday afternoons and Saturday mornings.

Time period

The program is held over 30 half-days on a total of 16 weekends. The program starts in January and ends in June (excluding examination days). The course days are set taking into account public holidays and the school holidays in the city of Zurich. Subject to change.

Costs

The CAS Compliance Management costs CHF 9'800.–. The course fee includes the costs for the digital course materials, the examination fees and the graduation ceremony.

Mandatory attendance

At least 80% of the course must be attended in order to pass the course.

Degree

The Faculty of Law of the University of Zurich awards successful graduates of the program the title: Certificate of Advanced Studies UZH in Compliance Management with 15 ECTS Credits.

Lecturers

The pool of lecturers includes renowned experts from national and international academia, business and legal advice. Experienced specialists from leading companies as well as representatives from regulatory authorities and compliance platforms are also involved, sharing their practical knowledge and experience with the participants.

Application and Contact

Application

30 November via the application form on the website.

The course will only be held if there is a sufficient number of participants.

Location

Pädagogische Hochschule Zürich

Lagerstrasse 2

8090 Zurich

www.phzh.ch

Information and contact

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